



## Mox Invest fees and charges

The following fees and charges apply to your use of our Mox Invest products and services set out below. For the fees and charges applicable to your use of our other products and services, please refer to our General Fees and Charges for details.

Unless the context requires otherwise, capitalised terms used in this document shall have the meanings in our General Terms and Conditions. If there is any inconsistency or conflict between the English and Chinese versions, the English version shall prevail.

Mox Invest																		
Market	Type of fee	Fee rate	Charged by															
<b>Trade related (stocks and other listed Securities)</b>																		
Hong Kong	Trading commission	The trading commission will be charged according to the following:	Mox															
		<table border="1"><thead><tr><th>If you are Invest tier^</th><th>Commission rate (% of transaction amount)</th><th>Minimum trading commission per order</th></tr></thead><tbody><tr><td>Basic</td><td>0.15%</td><td>HKD50</td></tr><tr><td>Advanced</td><td>0.12%</td><td>HKD30</td></tr><tr><td>Pro</td><td>0.10%</td><td>HKD30</td></tr><tr><td>Elite</td><td>0.088%</td><td>HKD30</td></tr></tbody></table>		If you are Invest tier^	Commission rate (% of transaction amount)	Minimum trading commission per order	Basic	0.15%	HKD50	Advanced	0.12%	HKD30	Pro	0.10%	HKD30	Elite	0.088%	HKD30
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There is no trading commission for odd lot orders.																		

	Settlement fee	Subject to the latest applicable rules and charges of the third party. <sup>1</sup>	HKEX																				
	Stamp duty <sup>2</sup>	Subject to the latest applicable rules and charges of the third party. <sup>1</sup>	HK Gov																				
	Trading fee	Subject to the latest applicable rules and charges of the third party. <sup>1</sup>	HKEX																				
	SFC transaction levy	Subject to the latest applicable rules and charges of the third party. <sup>1</sup>	SFC																				
	AFRC transaction levy	Subject to the latest applicable rules and charges of the third party. <sup>1</sup>	AFRC																				
<b>U.S.</b>	Trading commission	Trading commission for a transacted quantity of equal to or more than 1 share will be charged according to the following:	Mox																				
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Elite	USD0.0060	USD5	USD1																				

	The trading commission for a transacted quantity of less than 1 share is fixed at USD1 per order. This rate also applies to transacted quantities of less than 1 share in a given U.S. stock under a Systematic Investment Plan.												
SEC transaction fee <sup>3</sup>	Subject to the latest applicable rules and charges of the third party. <sup>1</sup>		SEC										
FINRA trading activity fee <sup>3</sup>	Subject to the latest applicable rules and charges of the third party. <sup>1</sup>		FINRA										
<b>Trade related (Funds, excluding Core Portfolio Service)</b>													
Subscription fee for Funds	Where the relevant Funds trading service is available on Mox Invest, Mox subscription fee for Funds will be charged according to the following:												
	<table border="1"> <thead> <tr> <th>If you are Invest tier<sup>^</sup></th> <th>Fee rate (% of investment amount)<sup>4</sup></th> </tr> </thead> <tbody> <tr> <td>Basic</td> <td>Up to 0.80%</td> </tr> <tr> <td>Advanced</td> <td>Up to 0.70%</td> </tr> <tr> <td>Pro</td> <td>Up to 0.60%</td> </tr> <tr> <td>Elite</td> <td>Up to 0.50%</td> </tr> </tbody> </table>			If you are Invest tier <sup>^</sup>	Fee rate (% of investment amount) <sup>4</sup>	Basic	Up to 0.80%	Advanced	Up to 0.70%	Pro	Up to 0.60%	Elite	Up to 0.50%
If you are Invest tier <sup>^</sup>	Fee rate (% of investment amount) <sup>4</sup>												
Basic	Up to 0.80%												
Advanced	Up to 0.70%												
Pro	Up to 0.60%												
Elite	Up to 0.50%												
<b>Core Portfolio Service</b>													
Advisory fee	The advisory fee <sup>5</sup> will be charged according to the following:		Mox										

		<b>If you are Invest tier^</b>	<b>Fee rate (%) of the daily holding value of your Core Portfolio</b>	
		Basic	1.00% p.a.	
		Advanced	0.85% p.a.	
		Pro	0.70% p.a.	
		Elite	0.50% p.a.	
<b>Nominee and corporate actions services</b>				
<b>Hong Kong</b>	Cash and dividend collection fee <sup>6</sup>		0.2% of dividend or cash distribution amount <sup>7</sup> , minimum HKD30 per collection.	Mox
	Equity interest collection fee <sup>8</sup>		HKD1 per board lot, minimum HKD30 per collection, maximum HKD2,000 per collection.	Mox
	Corporate action handling fee <sup>9</sup>		HKD1 per board lot, minimum HKD30 per collection, maximum HKD2,000 per collection.	Mox
<b>U.S.</b>	ADR custody fees		The fees for general custody of ADRs range from USD0.01 – 0.05 per share. For details, please refer to the depository bank of the ADRs. Mox will not notify you of any change of ADR fees in advance.	DTC
<b>Scrip handling and settlement related services</b>				
<b>Hong Kong</b>	Stock transfer out		HKD500 per Hong Kong stock per instruction.	Mox
<b>U.S.</b>	Stock transfer out		USD150 per U.S. stock per instruction.	Mox

Account maintenance					
Hong Kong and U.S.	Paper statement retrieval	Mox	Invest	HKD100 per statement or contract note. <sup>10</sup>	Mox
	Custodian fee			Waived	-
	Bank reference letter / Certificate of balance			Please refer to our General Fees and Charges for the applicable fees and details.	Mox
Information services					
Hong Kong	Basic Service	Market	Prices	Waived	-
U.S.	Nasdaq Basic			Waived	-
Other services					
Hong Kong and U.S.	Tax			Subject to specific requirements of individual stock.	-
<p><i>Notes:</i></p> <p><i>Mox Invest fees and charges listed above may be subject to change at any time. Please check this document regularly, including before you trade.</i></p> <p><i>Out of pocket expenses are to be paid by Mox Invest customers.</i></p> <p><i>A transaction refers to a trade that is effected on an exchange. An order refers to an instruction submitted by a customer to conduct a transaction(s) on the exchange. An order may be filled in multiple transactions. If an order is filled in multiple transactions over 2 days or more, the minimum trading commission will be applied on each trade execution day.</i></p>					



*Systematic Investment Plan refers to an instruction submitted by a customer to conduct recurring buy transactions via the Mox app.*

*^ We will determine your Invest tier based on your Monthly Aggregate Transaction Volume or Holding Value of Funds, in accordance with the below:*

<b>Invest tier</b>	<b>Monthly Aggregate Transaction Volume</b>	<b>Holding Value of Funds</b>
<i>Basic</i>	<i>less than or equal to HKD100,000</i>	<i>less than or equal to HKD50,000</i>
<i>Advanced</i>	<i>more than HKD100,000 and up to HKD500,000</i>	<i>more than HKD50,000 and up to HKD250,000</i>
<i>Pro</i>	<i>more than HKD500,000 and up to HKD1,500,000</i>	<i>more than HKD250,000 and up to HKD750,000</i>
<i>Elite</i>	<i>more than HKD1,500,000</i>	<i>more than HKD750,000</i>

*We will apply the Invest tier that is more favorable to you, based on your Monthly Aggregate Transaction Volume and your Holding Value of Funds (as determined by us in our sole and absolute discretion). We will assess (or refresh) the Invest tier that applies to you at such times and intervals as we determine from time to time. We will display such times and intervals in the Mox app. Without limiting the foregoing, your Monthly Aggregate Transaction Volume will be reset to 0 on the first day of every calendar month at a time designated by us through the Mox app.*

*For investments or trades made in a currency other than Hong Kong dollars, we will calculate the Hong Kong dollar amount of the investments or trades using our prevailing exchange rates. As exchange rates fluctuate, the amount of your Monthly Aggregate Transaction Volume and/or your Holding Value of Funds may change from time to time, even if you have not made any new investments or otherwise traded.*

*We will determine the applicable commission for a trade of stocks or other listed Securities with reference to the Invest tier applicable at the time the trade is executed. We will determine the applicable subscription fee for Funds or advisory fee for Core Portfolio Service with reference to the Invest tier applicable at the time the order is placed or when the advisory fee is calculated.*

*You can see the Invest tier that applies to you, at a particular point in time, in your Mox app.*

*For the purposes of this note:*

**“Holding Value of Funds”** means the market value of all your holdings in Funds (including your Core Portfolio) held in your Mox Invest Account.

**“Monthly Aggregate Transaction Volume”** means the cumulative value of all executed buy and sell transactions of stocks and other listed Securities in the Hong Kong and U.S. markets conducted through Mox Invest during a calendar month in the relevant market’s time zone.

<sup>1</sup> Third party charges in addition to the fees and charges information set out in this Fees and Charges document, including transaction levy, trading fee, stamp duty and others, may vary and be announced by respective parties from time to time. For details, please refer to the FAQ regarding Mox Invest on Mox’s website and/or through the Help Centre in the Mox app.

<sup>2</sup> Not applicable to trading warrants, callable bull/bear contracts (CBCBs) and exchange traded funds (ETFs).

<sup>3</sup> Applicable to sell orders only.

<sup>4</sup> Fee rate for a transaction of Fund will be displayed in the Mox app.

<sup>5</sup> Calculated and accrued daily, from the day you invest in your Core Portfolio but excluding the execution day in respect of sell order(s) for your Core Portfolio. The advisory fee will be charged on a monthly basis and is usually deducted from your Mox Invest USD investment cash account. If you do not have sufficient balance to settle the fee, we may (in our discretion) allow it to accrue. The accrued amount may be deducted once there is available balance in your Mox Invest USD investment cash account or from any proceeds from the sale of your Core Portfolio or other investments. Please note, however, that any accrued amount is a debt due which we may deduct at any time from any of your accounts with Mox without further notice, in accordance with our General Terms and Conditions. Relevant fees continue to accrue for Core Portfolio holdings maintained even if you become ineligible for the Core Portfolio Service.

<sup>6</sup> If dividend amount is less than HKD30, Mox will charge (and withhold) the lesser dividend amount.

<sup>7</sup> Dividend includes cash dividend and value of scrip dividend, including resulting from choice dividends. Cash distribution amount includes but is not limited to the amount received from tender offers, mergers, and privatisations.

<sup>8</sup> Equity interest collection events include but are not limited to exercise of right issues and open offers, bonus shares, spin-offs, mergers and tender offers.

<sup>9</sup> Corporate action events include but are not limited to right issues and open offers, and tender offers.

<sup>10</sup> Mailing of physical statement is only available within Hong Kong.

Last updated: 6 October 2025